

SCHEME FOR ASSISTANCE TO AYUSH ORGANISATIONS (GOVERNMENT / NON-GOVERNMENT NON-PROFIT) ENGAGED IN AYUSH EDUCATION / DRUG DEVELOPMENT & RESEARCH / CLINICAL RESEARCH ETC. FOR UPGRADATION TO CENTRES OF EXCELLENCE

I. Introduction

Given the growing consumer acceptance of AYUSH in the domestic and global society as an important component of pluralistic schemes of healthcare, the Govt. of India has decided to identify reputed AYUSH knowledge engage in Education / Drug Development & Research / Clinical Research etc. in Government/Non-Government / Non-profit and to support them to upgrade *their functions and facilities* to levels of excellence.

The Centers of Excellence may be institutions/organizations engaged in one or more of the following activities:

- i. Clinical research,
- ii. AYUSH Hospitals, and Nursing homes,
- iii. Research based on the Fundamentals of AYUSH,
- iv. Inter-disciplinary research in pharmacology, pharmacy or product development, bridging AYUSH and modern science,
- v. Any other specialized area of AYUSH.

II Objective of the Scheme:

To support creative and innovative proposals for upgrading both functions and facilities of reputed AYUSH institutions to levels of excellence. Upgrading functions implies adding new long-term functions and making significant qualitative improvements in the existing functions including support for human resources. Upgrading facilities implies adding infrastructure and equipment that will result in a qualitative improvement. From the Government grants, the existing facilities of the applicant organization should be upgraded to achieve higher standards as may be recognized in the discipline like NABH, NABL, GLP, GMP etc., as are acceptable to the Department. The NABH / NABL Accreditation should be the one deliverables of the project, for the organisation who are not accredited with NABH / NABL.

III Eligibility under the scheme:

- (i) Government aided Institutions/ Undertaking/Universities/Research Councils, Autonomous Organizations and Non – Profit Non-Governmental organizations engaged in one or more of the above fields listed in the introduction to the scheme.
- (ii) The applicants should have a track record of atleast *-five years* of meritorious work in the AYUSH sector specifically in the proposed activity as a Centre of Excellence and should have adequate infrastructure in terms of building, land, equipment and manpower. It should possess adequate land for further expansion of the building and to house the equipment to be purchased from the grant.
- (iii) Purchase of land and vehicle, except ambulance, is not allowed from the grant.
- (iv) The organization should furnish the necessary evidence for its potential in having achieved a level of eminence in the respective field at state level, and further potential to become a Centre of Excellence at the National level, as per the illustrative list placed at Annexure – D of the scheme guidelines.
- (v) Legal status of the organization: - The organization should be registered under Societies Registration Act / Trust / Companies Act or any other Act.
- (vi) The applicant should have a competent *core staff* in the field in which the Centre of Excellence is proposed
- (vii) The applicant should have a credible and transparent governing body and management committee consisting of reputed persons.
- (viii) Any institution that has obtained funding from any other scheme of the Department of AYUSH / any other Central Government Ministry / Department for the same purpose in the last five years shall not be eligible for funding under this scheme.
- (ix) Applicant shall have to make information available regarding any assistance if ever taken from the Government.

The Project Sanctioning Committee may relax eligibility criteria for Special category States and Union Territory like North-eastern States, J&K & Andman and Nicobar and also in other cases on case to case basis on merits.

IV. Duration of the Scheme:

The Scheme will be operational during XIIth Plan. There will be a periodic review of the Scheme on yearly basis for mid-term corrections, if required.

V. Mode of application

Application for upgradation to Centers of Excellence shall be made in the proforma at **Annexure A**.

In case of non-Governmental organization the proposal should be forwarded and recommended by the State Government officer not below the rank of Director, in the proforma given at **Annexure–C**. Recommendations of the State / UT should clearly mention about the following points:

- a) Credentials of the Institute/Organisation
- b) Track record of the Institute/Organisation in past -05 years
- c) Relevance of the project as per the scheme guidelines.
- d) Usefulness of the institute for public purpose after its upgradation to the Centre of Excellence.

Note: The State Government has to forward the proposal in the prescribed proforma within 60 days of submission of the proposal by the applicant organization to the State. In case, no response is received from State Government, it shall be presumed that State Government has no objection against the applicant organization and Department of AYUSH will consider the proposal accordingly.

VI Selection process:

The selection of a proposal will be in the following steps:-

STEP –I: Examination of Proposal in the Section:

After receiving the proposal the programme officer will initiate process within 14 days. The proposal /concept note not found suitable and in accordance with scheme guidelines at initial examination i.e. proposal with major deficiencies (deviating from the objectives and eligibility criteria) will be rejected and applicant will be informed accordingly. The proposal found suitable will be placed before the Project Appraisal Committee for its consideration.

STEP –II: Project Appraisal Committee (PAC):

The suitable proposals will be appraised by the Project Appraisal Committee (PAC) Chaired by Joint Secretary (AYUSH). The PAC may invite the applicant organization for the presentation before the Committee. The proposals with clear-cut deliverables, component -wise cost, action plan, area of implementation, duration of the project will be recommended by the Project Appraisal Committee.

STEP – III: - Project Sanctioning Committee (PSC):

The proposals recommended by the Project Appraisal Committee will be considered by the Project Sanctioning Committee for final approval / Sanction. The Project Sanctioning Committee may relax the deliverables etc. of the project, if necessary, on case to case basis. All the installments will be released only after approval of the PSC.

Project Appraisal Committee (PAC):

The composition of the Project Appraisal Committee will be as under:-

- | | |
|--|-------------------|
| (i) JS (AYUSH) | - Chairman |
| (ii) Adviser (Ay.) / (Unani) / (Homoeopathy) of the Department | - Member |
| (iii) DGs of Research Councils under Deptt. AYUSH | - Member |
| (iv) Representatives of CSIR / DBT / DST/ICMR | - Member |
| (v) Director in-charge of the Scheme | - Member Convener |

The proposals found suitable and recommended by the Project Appraisal Committee will be placed for consideration of Project Sanctioning Committee. If required the applicant may be invited for a presentation before the Project Appraisal Committee (PAC) headed by Joint Secretary (AYUSH).

The Project Appraisal Committee will review and select the best proposal evaluated in terms of:

- (i) Bonafides of the organization for accreditation.
- (ii) Scientific relevance of objectives for excellence.
- (iii) Last - five years track record of the applicant.
- (iv) Strengths and limitations of the existing functions and facilities.
- (v) Anticipated outputs and outcomes during the next five years.
- (vi) Quality of governance system of the applicant institutions.

The PAC will also get the achievement cum performance report, utilization certificate before recommending release of installments.

Project Sanctioning Committee (PSC):

The Composition of the Project Sanctioning Committee will be as under:-

- | | |
|--|-------------------|
| (i) Secretary (AYUSH) | - Chairperson |
| (ii) JS (AYUSH) | - Member |
| (iii) Advisor Concerned, Dept. of AYUSH | - Member |
| (iv) Addl. Secretary & Financial Advisor | - Member |
| (v) One renowned AYUSH Public Health Experts
nominated by Secretary (AYUSH) | - Member |
| (vi) Director in-charge of the Scheme | - Member Convener |

The proposals recommended by the Project Appraisal Committee will be considered by the Project Sanctioning Committee for final approval.

The Project Sanctioning Committee will sanction the proposals which are found suitable and useful under the Scheme.

The Sanctioning Committee may accord in-principle approval to the proposals with minor deficiencies, subject to correction as suggested by the committee, and the proposals not found suitable will be rejected.

VII. Funding Pattern

- (i) Maximum Rs.10 crore will be approved for the period of three years. Not more than 30% of the Government grant shall be permitted to spent on civil works.
- (ii) The funds will be released atleast in three installments, as decided by the PAC, with each installment amounting to a maximum of 40%, 40% and 20% of the total amount sanctioned.
- (iii) The second and third installment will be released after receiving satisfactory achievement-cum-performance report, on acceptance of UC, and approval of Project Sanctioning Committee.
- (iv) In case of Non-Governmental Organizations / Institutions the grantee institution is also required to submit a Bank Guarantee and Bond before release of grant. In the Bond, Grantee Institution will have to commit specifically that 25% facilities created by Government Grant shall be available to the people below poverty line at concessional rates and record of such services be maintained. The condition of providing facilities to the people below poverty line at concessional rates will not apply to research projects where

any knowledge product is developed out of grant. In case research product is developed out of Government grant, no commercial use without specific prior approval of the Department will be allowed. While considering the approval for commercial use of research product developed out of Government grant, the Department may put conditions as deemed fit.

(v) Department of AYUSH shall have the right to recover the total amount of grant released with 12% interest from the date of release of fund for any default or deviation from the terms & conditions of sanction of grant.

VIII. MONITORING MECHANISM:-

- i) 0.6% of the total cost of the project may be used for the monitoring of the project.
- ii) State / UT Government where project is implemented should be involved in the monitoring of each and every project. For this purpose, a Committee would be constituted consisting of the following members.
 - a. One representative of State Directorate /Department dealing with AYUSH.
 - b. One representative from Local Central Research Institute (CRI) / Regional Research Institute (RRI) unit of respective Council.
 - c. One representative from the Department of AYUSH.
 - d. One local eminent AYUSH expert nominated by the Secretary (AYUSH).

Note: - In no case monitoring visit will be conducted by less than two members of the Monitoring Committee.

- iii) The monitoring committee will submit their report to the Department before release of subsequent installment.
- iv) To ensure sustainability of the project the local CRI / RRI will monitor the activities of the organization
- v) The Final products/ deliverables achieved out of the project will be forwarded to the research councils for leads in the drug development / research protocol.

STAFF FOR THE SCHEME:-

Department of AYUSH will engage a Project Manager with a minimum qualification of MBA and having an experience of 10 years, at a monthly remuneration of Rs. 55,000/- and a data Entry operator @ Rs. 20,000/- per month on contractual basis for implementation of the scheme. The remuneration will be reviewed periodically in consultation with IFD.

ANNEXURE - A

SCHEME FOR ASSISTANCE TO AYUSH ORGANISATIONS (GOVERNMENT / NON-GOVERNMENT/NON-PROFIT) ENGAGED IN AYUSH EDUCATION/DRUG DEVELOPMENT & RESEARCH / CLINICAL RESEARCH / FOLK MEDICINE ETC. FOR UPGRADATION TO CENTRES OF EXCELLENCE.

1. Name of the Organisation:
2. Address with E-mail and Phone No.:
3. Registration No. and date:
4. Year-wise financial status of the Organisation of last 5 years; income and expenditure:
5. Whether the Organisation has its own building If yes, give details of infrastructure available along with equipment, vehicle etc.:
6. Details/ Profile of Personnel employed and persons in the management committee:
7. The details of objectives of the organization:
8. Whether any grant-in-aid has been received from Central/ State Govt., if so, the details thereof:
9. Details of Area(s) to be covered under the Project; like State, District and Block:
10. Whether a concept paper on the proposal with following details has been enclosed:
 - a) Proposed objectives of excellence and their justification;
 - b) Track record of meritorious accomplishments during the last five years in the proposed activity as a Centre of Excellence;
 - c) Information on National and International awards; and
11. Amount of grant-in-aid required (item-wise):
12. Action Plan for implementation of the project:
13. The standards to be achieved by the organization by utilizing the grant with respect to the illustrative list placed at annexure –D of the scheme guidelines:
14. Expected outcome/ deliverables at the end of the project:

Name and signature of the General Secretary/
President/Chief Executive/Proprietor of the Organisation
with Seal of Office.

UNDERTAKING

I/We,.....on behalf of.....
..... (*Name of the Organisation / institution / NGO*) hereby undertake
that the progress of work of the project will be intimated to Department of AYUSH in the
Ministry of Health & Family Welfare, Govt. of India on quarterly basis and will submit
utilization certificate for the Grant-in-aid on yearly basis with details of audited accounts.

Signature-----
Name in capital letters:
of the Head of the organization (with seal)

ANNEXURE –B

1. CHECKLIST OF DOCUMENTS TO BE ATTACHED WITH THE APPLICATION

- i. Application as per Annexure – A.
- ii. Two copies of Detailed Project Report (DPR) of the Proposal
- iii. Attested copies of the Registration Certificate, Memorandum of Association and By-laws of the Organization in case of Non-Governmental Organisation / Institute.
- iv. Attested copy of the Audited Statement of Account for the last 3 successive years.
- v. A note of past activities especially those related to AYUSH Sector development.
- vi. Latest Bank account Statement of the Organization in case of Non-Governmental Organisation / Institute.
- vii. A detailed note on the Organization's plan giving component-wise details of activities envisaged under the project proposal.
- viii. A detailed statement indicating grant-in-aid received from any organisations/Govt. Departments in the past.
- ix. The list of publications, if any.
- x. Documents supporting the previous track record of working in the field of proposed excellence.
- xi. Supporting documents claiming the institute as an eminent institute of the state in that particular field of work according to the illustrative list added at **Annexure -D**
- xii. Proposed objectives of excellence and their justification;
- xiii. Track record of meritorious accomplishments during the last - five years;
- xiv. Profile of available staff and infrastructure;
- xv. Information on National and International awards; if any
- xvi. Broad budget estimate for a five year program; and

CERTIFICATE TO BE SUBMITTED WITH THE APPLICATION

CERTIFIED THAT:

1. We shall abide by all the Terms and Conditions of the Grant.
2. We shall furnish such periodical / special reports as may be required by Department of AYUSH.
3. Our books and records shall be open to inspection at all times to Department of AYUSH or their authorized representatives.
4. Department of AYUSH may at their discretion, themselves or through their authorized representative evaluate the physical progress / utilization of funds for the projects.
5. The undersigned shall be personally responsible for the credibility and authenticity of the information provided and documents attached with the proposal and legally liable for any default in this regard.
6. Separate accounts for the project will be maintained.
7. In the event of the default or deviation from the terms and conditions of sanction of the projects, the Department of AYUSH shall have the right to recover the total amount of grant released with 12% interest from the date of release of fund.

THE FOLLOWING DOCUMENTS WILL ALSO BE REQUIRED TO BE SUBMITTED BY THE APPLICANT ORGANIZATION BEFORE RELEASE OF GRANT, BUT AFTER GETTING APPROVAL LETTER FROM THE DEPARTMENT OF AYUSH.

(i) BANK GUARANTEE

The applicant organization (other than Government) has to furnish a irrevocable and unconditional Bank Guarantee, before release of grant .for the amount equivalent to release of an installment. In the event of the default or deviation from the terms and conditions of the Scheme Guidelines and as issued by the Government / Department from time-to-time including those mentioned in the sanction letter, the Department of AYUSH shall have the right to forfeit the Bank Guarantee.

(ii) BOND

The selected organization (other than Government) has to furnish a Bond. The Bond should incorporate that the applicant organization shall abide by all terms & conditions as mentioned in the sanction letter, GFR, Component wise approved project cost, year wise deliverables to be achieved under the project and 25% facilities created from Government grant shall be available to the people below poverty line at concessional rates. The validity of the Bond should not be less than seven years from the release of last & final installment.

The Bond should also contain:

- Photograph of the Chief Functionary of the applicant Organization
- Signatures Names and Stamp of Chief Functionary of the organization.
- Postal Address
- Telephone Number with STD Code:

(iii) REGISTRATION WITH PLANNING COMMISSION

The selected organization (Non-Governmental) has to register with the portal with Planning Commission before release of grant.

5. WHOM TO APPLY.

Director (Schemes),
Department of Ayurveda, Yoga & Naturopathy, Unani, Siddha and Homoeopathy,
AYUSH Bhawan, B-Block, GPO Complex, INA, New Delhi - 110023.

ANNEXURE – C

Proforma for verifying the credentials of the organization by the State Government:

Certified that (the name of the organization)....., of (Address).....in
.....(State) is working since(no. of years)

- a) The institute is working in the field offor.....years, it has already achieved a standard of, which is prescribed as minimum eligibility criteria as per the illustrative list at **Annexure –D** of the scheme guidelines, and deserves to be upgraded to a Centre of Excellence.
- b) The upgraded facilities will benefit the public by (specify the manner in which the upgraded facilities will be beneficial to the public.).....
- c) Track record of the organization in the past 05 years in the field of..... is remarkable and is beneficial to the public.
- d) The project submitted by the organization is relevant as per the scheme guidelines.

In view of above the proposal is being recommended for consideration under the Central Sector Grant-in-aid Scheme for upgradation to Centres of Excellence

Signature of the STATE GOVERNMENT OFFICER not
below the rank of Director of AYUSH of the State
/ UT concerned:

Office seal

Phone No.....

Fax No.....

ANNEXURE – D

ILLUSTRATIVE LIST

CATEGORY OF THE INSTITUTION	MINIMUM ELIGIBILITY CRITERIA	STANDARDS TO BE ATTAINED
<u>Clinical Research</u>	<p>Teaching college establishment, with permission for PG seats from AYUSH</p> <p>Must have published at least 05 research papers out of which two should be in International / National journal.</p> <p>Must be engaged in original and basic research in area concerned.</p>	<p>To be upgraded to the level of a National Institute / International Research Centre in area concerned.</p>
<u>AYUSH Hospitals</u>	<p>Minimum 100 bedded hospital in concerned discipline, existing for the past 05 years, with a turnover of Rs.1crore.</p>	<p>(i) To obtain a level of NABH – Institute with grant should be able to achieve a level for accreditation to NABH.</p> <p>(ii) As per highest standards developed by NABH for institute already having NABH.</p>
<u>Nursing Homes</u>	<p>Minimum 25 bedded hospital in concerned discipline, existing for the past 05 years, with a turnover of Rs.1 crore.</p>	<p>(i) To obtain a level of NABH – Institute with grant should be able to achieve a level for accreditation to NABH.</p> <p>(ii) As per highest standards developed by NABH for institution already having NABH.</p>
<u>Pharmacy</u>	<p>Qualifying Schedule-T GMP norms, establishment more than 05 years. Annual turnover of more than Rs.5 crore.</p>	<p>To be upgraded to achieve a level of WHO – GMP / CoPP.</p>
<u>Pharmacopoeial Laboratory</u>	<p>Must be in existence for minimum five years period.</p>	<p>(i) Meeting GLP norms</p> <p>(ii) To obtain a level of NABL</p>
